

11-26-01

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Patent

Attorney Docket: 156906-0010

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: 156906-0010

First Named Inventor: Philippe A. Charrin

Prior Application Information:

Serial No. 09/456,021

Examiner: S. Kabakoff

Art Unit: 2132

Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

FILING UNDER 37 CFR § 1.53(b)

This is a request for filing for a

☒ continuation

☐ divisional

☐ continuation-in-part (CIP)

application under 37 CFR § 1.53(b) of pending prior application Serial No. 09/456,021 filed on December 3, 1999, by

**Philippe A. Charrin**, entitled:

**METHOD AND SYSTEM FOR SECURE CASHLESS GAMING**

For CONTINUATION or DIVISION APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied, referenced above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**I. APPLICATION ELEMENTS ENCLOSED**

29 Page(s) of Written Description

3 Page(s) of Claims

1 Page(s) of Abstract

20 Sheet(s) of Drawings

☒ formal

☐ informal

2 Page(s) of ☒ Declaration or ☐ Declaration and Power of Attorney

☒ Copy from prior application [37 CFR §1.63(d)]

☐ Newly executed

1 Page(s) of Power of Attorney

CERTIFICATE OF MAILING

(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL521196831US

Express Mail Label No.

November 12, 2001

Date of Deposit

Connie Kwon

Name of Person Mailing Paper

Connie Kwon

Signature of Person Mailing Paper

11/13/01  
jc796 U.S. PTO

jc821 U.S. PTO  
09/992831  
11/13/01

09/992831  
11/13/01

- ☐ Assignment papers (cover sheet and documents(s))
- ☒ An Information Disclosure Statement, PTO 1449, ☒ without copies of cited items.
- ☐ Request and Certification under 35 U.S.C. § 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35

## II. FEE CALCULATION

- ☒ Applicant claims small entity status under 37 CFR 1.27.

<b>BASIC FILING FEE:</b>						<b>\$740.00</b>
Total Claims	4	-	20	=	0 x \$18.00	\$0.00
Independent Claims	3	-	3	=	0 x \$84.00	\$0.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$740.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, claim of small entity status made above.						\$370.00
Misc. Filing Fees (Recordation of Assignment)						\$0.00
<b>TOTAL FEES DUE HERewith</b>						<b>\$370.00</b>

## III. PRIORITY - 35 USC § 119

- ☐ Priority of application Serial No. \_\_\_\_\_ filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 USC § 119.
- ☐ The certified copy has been filed in related U.S. application Serial No. \_\_\_\_\_.
- ☐ The certified copy will follow.

## IV. AMENDMENTS

- ☒ Cancel in this application original Claims 3-5 and 7-9 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes if no new claims are added in a preliminary amendment.)
- ☐ A Preliminary Amendment is enclosed. (Claims added by Amendment must be numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**V. RELATE BACK - 35 USC § 120**

- ☒ Relate back information included in preliminary amendment or specification.
- ☐ Please amend the specification as follows:
- [Enter continuing data here]
- ☐ With respect to the prior co-pending U.S. application from which this application claims benefit under 35 USC § 120, the inventor(s) in this application is (are) [37 CFR 1.53(b)(1)]:
- ☐ the same.
- ☐ less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted [see 37 CFR §§1.33(b) AND 1.63(d)(2)]:
- [Name(s) of inventor(s) to be deleted]

**VI. ASSIGNMENT**

- ☒ The prior application is assigned of record to Smart Card Integrators, Inc.

Smart Card Integrators, Inc.

Name of Assignee

1380 W. Washington Blvd., Los Angeles, California 90007

Address of Assignee

Assignment recorded in PTO on March 27, 2000, Reel 010690, Frame 0881

- ☐ An Assignment of the invention to \_\_\_\_\_ is attached.

**VII. METHOD OF PAYMENT OF FEES**

- ☒ Attached is a check in the amount of \$370.00.
- ☐ Charge Irell & Manella's Deposit Account No. **09-0946** in the amount of \_\_\_\_\_.
- ☐ Not attached. No filing fee is submitted. [This and the surcharge required by 37 CFR § 1.16(e) can be paid subsequently.]

**VIII. AUTHORIZATION TO CHARGE ADDITIONAL FEES**

The Commissioner is hereby authorized to credit Lyon & Lyon's Deposit Account No. **12-2475** for any over payment of fees and to charge the following additional fees by this paper and during the entire pendency of this application to Deposit Account No. **12-2475**:

- ☒ 37 CFR § 1.16 (Filing fees and excess claims fees)
- ☒ 37 CFR § 1.17 (Application processing fees)

- ☐ 37 CFR § 1.18 (Issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR § 1.311(b))
- ☐ 37 CFR § 1.21 (Assignment recordation fees)

**IX. POWER OF ATTORNEY & CORRESPONDENCE ADDRESS**

- ☒ The power appears in the original papers in the prior application.
- ☐ The power does not appear in the original papers, but was filed on \_\_\_\_\_ in prior application Serial No. \_\_\_\_\_.
- ☐ A new power has been executed and is attached.

Please send all correspondence to Customer Number 29000:



IRELL & MANELLA LLP  
Suite 900  
1800 Avenue of the Stars  
Los Angeles, CA 90067-4276  
Telephone: (310) 277-1010  
Facsimile: (310) 277-7199

Please direct all inquiries to Christopher A. Vanderlaan, at the above customer number.

**X. MAINTENANCE OF CO-PENDENCY OF PRIOR APPLICATION**

- ☐ A petition, fee and response has been filed to extend the term in the pending **prior** application until \_\_\_\_\_. A copy of the petition for extension of time in the **prior** application is attached.
- ☐ A conditional petition for extension of time is being filed in the pending **prior** application. A copy of the conditional petition for extension of time in the **prior** application is attached.

**XI. ABANDONMENT OF PRIOR APPLICATION**

- ☐ Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application co-pending with said prior application. At the same time, please add the words "now abandoned" to the amendment of the specification set forth in Item V above.

Respectfully submitted,

IRELL & MANELLA LLP

By:   
Christopher A. Vanderlaan  
Reg. No. 37,747

Dated: November 12, 2001

Enclosures